

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Applicant

Yiping Ding  
Kenneth W. Newman

Serial No.: 10/684,132

Filed: 10/10/2003

For: SYSTEM AND METHOD FOR  
STATICAL PERFORMANCE  
MONITORING

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Group Art Unit 2857

Attorney Docket No.: 149-0101US


INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby submits the following reference in accordance with 37 C.F.R. 1.56 and 1.97. Copies of these references cited in the attached PTO-1449 are enclosed.

Respectfully submitted,

  
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|   |       |   |    | Application Number       | 10/684,132             |
|   |       |   |    | Filing Date              | 10/10/2003             |
|   |       |   |    | First Named Inventor     | Yiping Ding            |
|   |       |   |    | Art Unit                 | 2857                   |
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|   | Sheet | 1 | of | 2                        | Attorney Docket Number |

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

|                    |            |
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| Application Number | 10/684.132 |
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| Filing Date | 10/10/2003 |
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| First Named Inventor | Yiping Ding |
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| Art Unit | 2857 |
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## U.S. PATENT DOCUMENTS

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| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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| Examiner Initials <sup>1</sup>                     | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|  |                       | YIPING DING and KENNETH NEWMAN, <u>Automatic Workload Characterization</u> , CMG2000 Proceedings, Vol. 1 (2000), 153-66.  |                |
|  |                       | YIPING DING, KENNETH NEWMAN and CHRIS THORNLEY, <u>On Correlating Performance Metrics</u> , CMG2001 Proceedings, Vol. 1 (2001), 467-77  |                |
|  |                       | CHRIS OVERTON, <u>Service Level Agreements (SLA's) Between Content Distributors and Content Creators</u> , Keynote Presentation at CMG01 [No written materials available.]  |                |
|  |                       | <u>Service Level Agreement (SLA)</u> ; <a href="http://www.baselinemag.com/print_article/0,3668, a+24380, 00.asp">http://www.baselinemag.com/print_article/0,3668, a+24380, 00.asp</a>  |                |
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